

**Clean Water SRF Program Information
for the State of New York**

CWSRF Agency Information

1 **Lead State Agency Name:** Environmental Facilities Corporation
2 **Type of Agency:** Environmental/Financial
3 **Mailing Address:** 625 Broadway Ave
4 City: Albany
5 Zip Code: 12207-2997
6 **Street Address:** 625 Broadway Ave
7 City: Albany
8 Zip Code: 12207-2997
9 **Director:** Thomas J. Kelly
10 Director's Title: President
11 Phone Number: (518) 402-6924
12 Fax Number: (518) 402-6954
13 E-mail Address: kelly@nysefc.org
14 **Contact Person:** Jimmy Ng
15 Phone Number: (518) 402-7433
16 Fax Number: (518) 402-7456
17 E-mail Address: ng@nysefc.org

18 **Secondary Agency Name:** Department of Environmental Conservation
19 **Type of Agency:** Environmental
20 **Mailing Address:** 625 Broadway Ave
21 City: Albany
22 Zip Code: 12233-3010
23 **Street Address:** 625 Broadway Ave
24 City: Albany
25 Zip Code: 12233-3010
26 **Director:** Erin M. Crotty
27 Director's Title: Commissioner
28 Phone Number: (518) 402-8540
29 Fax Number: (518) 402-8541
30 E-mail Address: emcrotty@gw.dec.state.ny.us
31 **Contact Person:** Erin M. Crotty
32 Phone Number: (518) 402-8540
33 Fax Number: (518) 402-8541
34 E-mail Address: emcrotty@gw.dec.state.ny.us

Other Agency:

35 **Other Agency Name:**
36 **Type of Agency:**
37 **Mailing Address:**
38 City:
39 Zip Code:
40 **Street Address:**
41 City:
42 Zip Code:
43 **Director:**
44 Director's Title:
45 Phone Number:
46 Fax Number:
47 E-mail Address:
48 **Contact Person:**
49 Phone Number:
50 Fax Number:
51 E-mail Address:

**Clean Water SRF Program Information
for the State of New York**

| | <i>For Federal Fiscal Year Ending September 30 of:</i> | | | | | | | |
|--|--|------|-------------|-------------|-------------|-------------|-------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Federal Grants (Dollars) | | | | | | | | |
| 52 Date of Last Award in the Year | - | - | 03/27/90 | 03/15/91 | 03/27/92 | 09/30/93 | 09/30/94 | 03/31/95 |
| 53 Title II Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 54 Title VI - Current Year's Appropriation | 0 | 0 | 107,688,240 | 226,524,177 | 214,461,720 | 212,150,961 | 131,638,122 | 135,952,146 |
| 55 Title VI - Prior Year's Appropriation | 0 | 0 | 104,109,489 | 0 | 0 | 0 | 0 | 0 |
| 56 *Total Annual Federal Grants | 0 | 0 | 211,797,729 | 226,524,177 | 214,461,720 | 212,150,961 | 131,638,122 | 135,952,146 |
| 57 *Cumulative Federal Grants | 0 | 0 | 211,797,729 | 438,321,906 | 652,783,626 | 864,934,587 | 996,572,709 | 1,132,524,855 |
| Transfer of Federal Funds Between CWSRF and DWSRF | | | | | | | | |
| 58 Amount of Federal Funds Transferred into CWSRF | | | | | | | | |
| 59 Amount of Federal Funds Transferred out of CWSRF | | | | | | | | |
| 60 *Net Transfer into/(out of) CWSRF | | | | | | | | |
| 61 *Cumulative Net Transfer into/(out of) CWSRF | | | | | | | | |
| Quarterly Outlays (Dollars) | | | | | | | | |
| 62 First Quarter Outlays | 0 | 0 | 0 | 25,539,208 | 52,251,259 | 56,978,623 | 30,718,995 | 27,820,043 |
| 63 Second Quarter Outlays | 0 | 0 | 0 | 51,639,138 | 79,327,502 | 29,243,732 | 1,768,681 | 22,842,808 |
| 64 Third Quarter Outlays | 0 | 0 | 23,686,672 | 51,420,722 | 58,512,605 | 29,276,546 | 10,843,219 | 35,856,552 |
| 65 Fourth Quarter Outlays | 0 | 0 | 24,084,122 | 51,468,723 | 57,786,833 | 29,403,246 | 7,159,945 | 29,323,768 |
| 66 *Total Annual Outlays | 0 | 0 | 47,770,794 | 180,067,791 | 247,878,199 | 144,902,147 | 50,490,840 | 115,843,171 |
| 67 *Cumulative Outlays | 0 | 0 | 47,770,794 | 227,838,585 | 475,716,784 | 620,618,931 | 671,109,771 | 786,952,942 |
| | | | | | | | | |
| | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Outlays (Dollars) | | | | | | | | |
| 68 *Annual | 0 | 0 | 23,686,672 | 152,683,190 | 241,560,089 | 173,285,734 | 72,734,141 | 93,679,348 |
| 69 *Cumulative | 0 | 0 | 23,686,672 | 176,369,862 | 417,929,951 | 591,215,685 | 663,949,826 | 757,629,174 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| | | <i>For Federal Fiscal Year Ending September 30 of:</i> | | | | | | | |
|--|--|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Federal Grants (Dollars) | | | | | | | | | |
| 52 | Date of Last Award in the Year | 06/07/96 | 07/24/97 | 01/09/98 | 06/22/99 | 07/13/00 | 03/09/01 | 01/01/02 | 03/25/03 |
| 53 | Title II Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 54 | Title VI - Current Year's Appropriation | 142,868,286 | 0 | 0 | 0 | 148,138,353 | 146,821,653 | 147,148,749 | 146,192,508 |
| 55 | Title VI - Prior Year's Appropriation | 0 | 79,827,200 | 68,791,536 | 148,630,680 | 148,642,461 | 0 | 0 | 0 |
| 56 | *Total Annual Federal Grants | 142,868,286 | 79,827,200 | 68,791,536 | 148,630,680 | 296,780,814 | 146,821,653 | 147,148,749 | 146,192,508 |
| 57 | *Cumulative Federal Grants | 1,275,393,141 | 1,355,220,341 | 1,424,011,877 | 1,572,642,557 | 1,869,423,371 | 2,016,245,024 | 2,163,393,773 | 2,309,586,281 |
| Transfer of Federal Funds Between CWSRF and DWSRF | | | | | | | | | |
| 58 | Amount of Federal Funds Transferred into CWSRF | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59 | Amount of Federal Funds Transferred out of CWSRF | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 60 | *Net Transfer into/(out of) CWSRF | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 61 | *Cumulative Net Transfer into/(out of) CWSRF | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Quarterly Outlays (Dollars) | | | | | | | | | |
| 62 | First Quarter Outlays | 77,496,755 | 27,070,452 | 43,858,760 | 540,079 | 74,791,916 | 79,822,287 | 0 | 65,374,637 |
| 63 | Second Quarter Outlays | 98,867,587 | 115,458,740 | 35,642,658 | 1,933,607 | 104,021,965 | 93,748,400 | 59,110,143 | 81,961,661 |
| 64 | Third Quarter Outlays | 31,596,876 | 32,736,691 | 21,790,052 | 0 | 0 | 0 | 0 | 0 |
| 65 | Fourth Quarter Outlays | 41,554,426 | 61,055,152 | 43,800,749 | 1,060,808 | 82,374,290 | 91,299,776 | 0 | 0 |
| 66 | *Total Annual Outlays | 249,515,644 | 236,321,035 | 145,092,219 | 3,534,494 | 261,188,171 | 264,870,462 | 59,110,143 | 147,336,298 |
| 67 | *Cumulative Outlays | 1,036,468,586 | 1,272,789,621 | 1,417,881,840 | 1,421,416,334 | 1,682,604,505 | 1,947,474,966 | 2,006,585,109 | 2,153,921,407 |
| | | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
| | | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Outlays (Dollars) | | | | | | | | | |
| 68 | *Annual | 237,284,986 | 216,820,309 | 162,346,622 | 46,274,435 | 179,874,689 | 255,944,976 | 150,409,918 | 147,336,298 |
| 69 | *Cumulative | 994,914,160 | 1,211,734,469 | 1,374,081,091 | 1,420,355,526 | 1,600,230,215 | 1,856,175,191 | 2,006,585,109 | 2,153,921,407 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Federal and State Investment | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|-------------|-------------|-------------|-------------|-------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Investment Summary | | | | | | | | |
| Total Federal CWSRF Capitalization Grants (Dollars) | | | | | | | | |
| 70 *Annual | 0 | 0 | 211,797,729 | 226,524,177 | 214,461,720 | 0 | 212,150,961 | 267,590,268 |
| 71 *Cumulative | 0 | 0 | 211,797,729 | 438,321,906 | 652,783,626 | 652,783,626 | 864,934,587 | 1,132,524,855 |
| Net Transfer of Federal Funds with DWSRF into/(out of) CWSRF | | | | | | | | |
| 72 *Annual | | | | | | | | |
| 73 *Cumulative | | | | | | | | |
| Federal CWSRF Contributions Adjusted for Transfers | | | | | | | | |
| 74 *Annual | 0 | 0 | 211,797,729 | 226,524,177 | 214,461,720 | 0 | 212,150,961 | 267,590,268 |
| 75 *Cumulative | 0 | 0 | 211,797,729 | 438,321,906 | 652,783,626 | 652,783,626 | 864,934,587 | 1,132,524,855 |
| Total State Contributions (Dollars) | | | | | | | | |
| 76 *Annual | 0 | 0 | 42,359,546 | 45,304,835 | 42,892,344 | 42,430,192 | 26,327,624 | 27,190,429 |
| 77 *Cumulative | 0 | 0 | 42,359,546 | 87,664,381 | 130,556,725 | 172,986,917 | 199,314,541 | 226,504,970 |
| State Contributions as a % of Federal CWSRF Capitalization Grants | | | | | | | | |
| 78 *Annual | - | - | 20% | 20% | 20% | - | 12% | 10% |
| 79 *Cumulative | - | - | 20% | 20% | 20% | 26% | 23% | 20% |
| Net Transfer of Funds with DWSRF into/(out of) the CWSRF | | | | | | | | |
| 80 *Annual Net Federal Funds Transferred | | | | | | | | |
| 81 Annual Net Non-Federal Funds Transferred | | | | | | | | |
| 82 *Annual Net Federal and Non-Federal Funds Transferred | | | | | | | | |
| 83 *Cumulative Net Federal and Non-Federal Funds Transferred | | | | | | | | |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Federal and State Investment | | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Investment Summary | | | | | | | | | |
| Total Federal CWSRF Capitalization Grants (Dollars) | | | | | | | | | |
| 70 | *Annual | 142,868,286 | 0 | 148,618,736 | 148,630,680 | 148,642,461 | 294,960,006 | 147,148,749 | 146,192,508 |
| 71 | *Cumulative | 1,275,393,141 | 1,275,393,141 | 1,424,011,877 | 1,572,642,557 | 1,721,285,018 | 2,016,245,024 | 2,163,393,773 | 2,309,586,281 |
| Net Transfer of Federal Funds with DWSRF into/(out of) CWSRF | | | | | | | | | |
| 72 | *Annual | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 73 | *Cumulative | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal CWSRF Contributions Adjusted for Transfers | | | | | | | | | |
| 74 | *Annual | 142,868,286 | 0 | 148,618,736 | 148,630,680 | 148,642,461 | 294,960,006 | 147,148,749 | 146,192,508 |
| 75 | *Cumulative | 1,275,393,141 | 1,275,393,141 | 1,424,011,877 | 1,572,642,557 | 1,721,285,018 | 2,016,245,024 | 2,163,393,773 | 2,309,586,281 |
| Total State Contributions (Dollars) | | | | | | | | | |
| 76 | *Annual | 28,573,655 | 0 | 29,723,747 | 29,726,136 | 29,728,492 | 58,992,002 | 29,429,750 | 29,238,502 |
| 77 | *Cumulative | 255,078,625 | 255,078,625 | 284,802,372 | 314,528,508 | 344,257,000 | 403,249,002 | 432,678,752 | 461,917,254 |
| State Contributions as a % of Federal CWSRF Capitalization Grants | | | | | | | | | |
| 78 | *Annual | 20% | - | 20% | 20% | 20% | 20% | 20% | 20% |
| 79 | *Cumulative | 20% | 20% | 20% | 20% | 20% | 20% | 20% | 20% |
| Net Transfer of Funds with DWSRF into/(out of) the CWSRF | | | | | | | | | |
| 80 | *Annual Net Federal Funds Transferred | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 81 | Annual Net Non-Federal Funds Transferred | | 0 | 0 | 0 | -49,981,206 | -16,197,885 | -16,264,842 | 0 |
| 82 | *Annual Net Federal and Non-Federal Funds Transferred | | 0 | 0 | 0 | -49,981,206 | -16,197,885 | -16,264,842 | 0 |
| 83 | *Cumulative Net Federal and Non-Federal Funds Transferred | | 0 | 0 | 0 | -49,981,206 | -66,179,091 | -82,443,933 | -82,443,933 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Federal and State Investment | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|------------|------------|-------------|-------------|-------------|-------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| State Match Contributions (Dollars) | | | | | | | | |
| 84 Cash or Appropriations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 85 GO Bonds Retired Outside the CWSRF | 0 | 0 | 42,359,546 | 45,304,835 | 42,892,344 | 42,430,192 | 26,327,624 | 27,190,429 |
| 86 GO Bonds Retired From the CWSRF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 87 Revenue Bonds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 88 Pre-existing Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 89 Other Sources | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 90 *Total Annual Match Contribution | 0 | 0 | 42,359,546 | 45,304,835 | 42,892,344 | 42,430,192 | 26,327,624 | 27,190,429 |
| 91 *Cumulative Match Contribution | 0 | 0 | 42,359,546 | 87,664,381 | 130,556,725 | 172,986,917 | 199,314,541 | 226,504,970 |
| Additional State Contributions in Excess of Match (Dollars) | | | | | | | | |
| 92 Cash or Appropriations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93 GO Bonds Retired Outside the CWSRF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 94 GO Bonds Retired From the CWSRF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 95 Revenue Bonds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 96 Pre-existing Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97 Other Sources | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 98 *Total Annual Additional Contribution | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99 *Cumulative Additional Contribution | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Federal and State Investment | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| State Match Contributions (Dollars) | | | | | | | | |
| 84 Cash or Appropriations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 85 GO Bonds Retired Outside the CWSRF | 28,573,655 | 0 | 29,723,747 | 29,726,136 | 29,728,492 | 58,992,002 | 29,429,750 | 29,238,502 |
| 86 GO Bonds Retired From the CWSRF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 87 Revenue Bonds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 88 Pre-existing Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 89 Other Sources | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 90 *Total Annual Match Contribution | 28,573,655 | 0 | 29,723,747 | 29,726,136 | 29,728,492 | 58,992,002 | 29,429,750 | 29,238,502 |
| 91 *Cumulative Match Contribution | 255,078,625 | 255,078,625 | 284,802,372 | 314,528,508 | 344,257,000 | 403,249,002 | 432,678,752 | 461,917,254 |
| Additional State Contributions in Excess of Match (Dollars) | | | | | | | | |
| 92 Cash or Appropriations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93 GO Bonds Retired Outside the CWSRF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 94 GO Bonds Retired From the CWSRF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 95 Revenue Bonds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 96 Pre-existing Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97 Other Sources | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 98 *Total Annual Additional Contribution | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99 *Cumulative Additional Contribution | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|-------------|-------------|---------------|---------------|---------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Binding Commitments | | | | | | | | |
| 100 Annual Dollar Amount | 0 | 0 | 166,500,000 | 475,981,585 | 472,202,928 | 86,466,037 | 928,164,918 | 526,518,017 |
| 101 *Cumulative Dollar Amount | 0 | 0 | 166,500,000 | 642,481,585 | 1,114,684,513 | 1,201,150,550 | 2,129,315,468 | 2,655,833,485 |
| 102 Annual Number | 0 | 0 | 1 | 31 | 37 | 20 | 60 | 97 |
| 103 *Cumulative Number | 0 | 0 | 1 | 32 | 69 | 89 | 149 | 246 |
| Type of CWSRF Assistance Provided (Dollars) | | | | | | | | |
| 104 Loans (includes pass-through and linked-deposit loans) | 0 | 0 | 166,500,000 | 369,655,677 | 428,707,399 | 79,372,196 | 240,124,884 | 271,277,803 |
| 105 Refinance Short-term Debt | 0 | 0 | 0 | 106,325,908 | 43,495,529 | 7,093,841 | 686,228,119 | 135,177,350 |
| 106 Refinance Long-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 51,022,168 |
| 107 Guarantee/Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 108 Sub-state Revolving Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 109 *Total Annual Assistance | 0 | 0 | 166,500,000 | 475,981,585 | 472,202,928 | 86,466,037 | 926,353,003 | 457,477,321 |
| 110 *Cumulative Assistance | 0 | 0 | 166,500,000 | 642,481,585 | 1,114,684,513 | 1,201,150,550 | 2,127,503,553 | 2,584,980,874 |
| Type of CWSRF Assistance Provided (Number of Assistance Agreements) | | | | | | | | |
| 111 Loans (includes linked-deposit and pass-through loans) | 0 | 0 | 1 | 17 | 23 | 11 | 54 | 60 |
| 112 Refinance Short-term Debt | 0 | 0 | 0 | 14 | 15 | 9 | 3 | 15 |
| 113 Refinance Long-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
| 114 Guarantee/Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 115 Sub-state Revolving Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 116 *Total Annual Number of Agreements | 0 | 0 | 1 | 31 | 38 | 20 | 57 | 83 |
| 117 *Cumulative Number of Agreements | 0 | 0 | 1 | 32 | 70 | 90 | 147 | 230 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Binding Commitments | | | | | | | | |
| 100 Annual Dollar Amount | 626,176,904 | 194,703,351 | 448,596,455 | 379,003,231 | 562,349,371 | 429,237,788 | 977,339,776 | 689,077,147 |
| 101 *Cumulative Dollar Amount | 3,282,010,389 | 3,476,713,740 | 3,925,310,195 | 4,304,313,426 | 4,866,662,797 | 5,295,900,585 | 6,273,240,361 | 6,962,317,508 |
| 102 Annual Number | 93 | 72 | 76 | 107 | 110 | 105 | 130 | 93 |
| 103 *Cumulative Number | 339 | 411 | 487 | 594 | 704 | 809 | 939 | 1,032 |
| Type of CWSRF Assistance Provided (Dollars) | | | | | | | | |
| 104 Loans (includes pass-through and linked-deposit loans) | 317,814,095 | 134,295,889 | 155,140,646 | 128,429,891 | 179,793,057 | 20,554,013 | 326,123,046 | 212,062,391 |
| 105 Refinance Short-term Debt | 100,587,742 | 18,208,978 | 174,483,181 | 57,341,978 | 287,000,000 | 354,341,766 | 531,109,477 | 384,014,635 |
| 106 Refinance Long-term Debt | 152,153,846 | 15,257,348 | 108,604,481 | 121,544,650 | 13,831,642 | 3,113,316 | 23,642,870 | 12,807,448 |
| 107 Guarantee/Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 108 Sub-state Revolving Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 109 *Total Annual Assistance | 570,555,683 | 167,762,215 | 438,228,308 | 307,316,519 | 480,624,699 | 378,009,095 | 880,875,393 | 608,884,474 |
| 110 *Cumulative Assistance | 3,155,536,557 | 3,323,298,772 | 3,761,527,080 | 4,068,843,599 | 4,549,468,298 | 4,927,477,393 | 5,808,352,786 | 6,417,237,260 |
| Type of CWSRF Assistance Provided (Number of Assistance Agreements) | | | | | | | | |
| 111 Loans (includes linked-deposit and pass-through loans) | 36 | 49 | 40 | 51 | 66 | 49 | 34 | 36 |
| 112 Refinance Short-term Debt | 22 | 11 | 18 | 25 | 1 | 33 | 47 | 11 |
| 113 Refinance Long-term Debt | 14 | 3 | 4 | 8 | 9 | 2 | 12 | 9 |
| 114 Guarantee/Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 115 Sub-state Revolving Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 116 *Total Annual Number of Agreements | 72 | 63 | 62 | 84 | 76 | 84 | 93 | 56 |
| 117 *Cumulative Number of Agreements | 302 | 365 | 427 | 511 | 587 | 671 | 764 | 820 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|-------------|-------------|---------------|---------------|---------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Assistance by Population Size (Dollars) | | | | | | | | |
| 118 Less than 3,500 | 0 | 0 | 0 | 8,310,000 | 13,923,128 | 5,686,037 | 16,459,033 | 28,085,730 |
| 119 3,500 to 9,999 | 0 | 0 | 0 | 3,821,585 | 10,764,800 | 3,159,995 | 52,197,967 | 37,701,761 |
| 120 10,000 to 99,999 | 0 | 0 | 0 | 72,415,885 | 20,220,000 | 40,380,180 | 77,058,756 | 120,801,528 |
| 121 100,000 and Above | 0 | 0 | 166,500,000 | 391,434,115 | 427,295,000 | 37,239,825 | 780,637,247 | 270,888,302 |
| 122 *Total Annual Assistance | 0 | 0 | 166,500,000 | 475,981,585 | 472,202,928 | 86,466,037 | 926,353,003 | 457,477,321 |
| 123 *Cumulative Assistance | 0 | 0 | 166,500,000 | 642,481,585 | 1,114,684,513 | 1,201,150,550 | 2,127,503,553 | 2,584,980,874 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | | | | |
| 124 Less than 3,500 | 0 | 0 | 0 | 8 | 17 | 8 | 18 | 28 |
| 125 3,500 to 9,999 | 0 | 0 | 0 | 6 | 7 | 3 | 17 | 13 |
| 126 10,000 to 99,999 | 0 | 0 | 0 | 12 | 9 | 6 | 17 | 24 |
| 127 100,000 and Above | 0 | 0 | 1 | 5 | 5 | 3 | 5 | 18 |
| 128 *Total Annual Number of Agreements | 0 | 0 | 1 | 31 | 38 | 20 | 57 | 83 |
| 129 *Cumulative Number of Agreements | 0 | 0 | 1 | 32 | 70 | 90 | 147 | 230 |
| Assistance to Hardship Communities (Excludes EPA's Rural Community Hardship Grants) | | | | | | | | |
| 130 Annual Dollar Amount | 0 | 0 | 0 | 0 | 1,537,000 | 571,037 | 6,031,000 | 11,967,650 |
| 131 *Cumulative Dollar Amount | 0 | 0 | 0 | 0 | 1,537,000 | 2,108,037 | 8,139,037 | 20,106,687 |
| 132 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 3 | 5 | 8 | 12 |
| 133 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 3 | 8 | 16 | 28 |
| Assistance to Indian Tribes | | | | | | | | |
| 134 Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 135 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|--|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Assistance by Population Size (Dollars) | | | | | | | | | |
| 118 | Less than 3,500 | 21,573,422 | 23,542,278 | 27,311,779 | 40,841,438 | 30,557,571 | 34,808,154 | 49,203,811 | 36,763,848 |
| 119 | 3,500 to 9,999 | 2,433,428 | 16,112,193 | 10,676,242 | 13,094,712 | 11,093,120 | 20,221,629 | 35,067,601 | 15,479,869 |
| 120 | 10,000 to 99,999 | 105,879,683 | 84,119,919 | 76,389,562 | 59,520,514 | 78,543,249 | 106,718,513 | 112,409,694 | 41,141,479 |
| 121 | 100,000 and Above | 440,669,150 | 43,987,825 | 323,850,725 | 193,859,855 | 360,430,759 | 216,260,799 | 684,194,287 | 515,499,278 |
| 122 | *Total Annual Assistance | 570,555,683 | 167,762,215 | 438,228,308 | 307,316,519 | 480,624,699 | 378,009,095 | 880,875,393 | 608,884,474 |
| 123 | *Cumulative Assistance | 3,155,536,557 | 3,323,298,772 | 3,761,527,080 | 4,068,843,599 | 4,549,468,298 | 4,927,477,393 | 5,808,352,786 | 6,417,237,260 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | | | | | |
| 124 | Less than 3,500 | 23 | 22 | 21 | 37 | 24 | 28 | 33 | 21 |
| 125 | 3,500 to 9,999 | 6 | 8 | 9 | 10 | 8 | 14 | 16 | 9 |
| 126 | 10,000 to 99,999 | 32 | 25 | 20 | 24 | 30 | 31 | 29 | 17 |
| 127 | 100,000 and Above | 11 | 8 | 12 | 13 | 14 | 11 | 15 | 9 |
| 128 | *Total Annual Number of Agreements | 72 | 63 | 62 | 84 | 76 | 84 | 93 | 56 |
| 129 | *Cumulative Number of Agreements | 302 | 365 | 427 | 511 | 587 | 671 | 764 | 820 |
| Assistance to Hardship Communities (Excludes EPA's Rural Community Hardship Grants) | | | | | | | | | |
| 130 | Annual Dollar Amount | 15,721,225 | 37,922,465 | 18,922,690 | 20,641,528 | 25,436,225 | 23,474,600 | 22,387,397 | 9,489,428 |
| 131 | *Cumulative Dollar Amount | 35,827,912 | 73,750,377 | 92,673,067 | 113,314,595 | 138,750,820 | 162,225,420 | 184,612,817 | 194,102,245 |
| 132 | Annual Number of Assistance Agreements | 11 | 12 | 12 | 17 | 13 | 12 | 13 | 7 |
| 133 | *Cumulative Number of Agreements | 39 | 51 | 63 | 80 | 93 | 105 | 118 | 125 |
| Assistance to Indian Tribes | | | | | | | | | |
| 134 | Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 135 | Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|-------------|-------------|---------------|---------------|---------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Total Wastewater Treatment, Nonpoint Source, and Estuary Assistance | | | | | | | | |
| 136 *Annual Dollar Amount | 0 | 0 | 166,500,000 | 475,981,585 | 472,202,928 | 86,466,037 | 926,353,003 | 457,477,321 |
| 137 *Cumulative Dollar Amount | 0 | 0 | 166,500,000 | 642,481,585 | 1,114,684,513 | 1,201,150,550 | 2,127,503,553 | 2,584,980,874 |
| Unallocated CWSRF Assistance Provided Under New York State's Short-term Loan Program | | | | | | | | |
| 137a Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 65,124,003 | -14,231,575 |
| Wastewater Treatment (\$212) (Dollars in each category) | | | | | | | | |
| 138 Secondary Treatment (Category I) | 0 | 0 | 134,904,992 | 399,550,597 | 372,103,854 | 42,592,596 | 399,180,016 | 253,362,729 |
| 139 Advanced Treatment (Category II) | 0 | 0 | 0 | 0 | 11,620,000 | 40,000 | 7,682,955 | 28,772,358 |
| 140 Infiltration/Inflow (Category IIIA) | 0 | 0 | 0 | 3,480,800 | 1,895,004 | 2,940,000 | 1,532,748 | 472,531 |
| 141 Sewer System Rehabilitation (Category IIIB) | 0 | 0 | 0 | 500,000 | 24,687,523 | 9,560,000 | 275,856,420 | 7,759,982 |
| 142 New Collector Sewers (Category IVA) | 0 | 0 | 0 | 30,798,214 | 12,210,550 | 593,261 | 11,371,788 | 29,905,631 |
| 143 New Interceptors (Category IVB) | 0 | 0 | 31,595,008 | 22,721,974 | 30,121,711 | 28,965,000 | 22,614,869 | 25,497,477 |
| 144 CSO Correction (Category V) | 0 | 0 | 0 | 18,930,000 | 19,564,286 | 1,775,180 | 138,452,133 | 24,450,936 |
| 145 Storm Sewers (Category VI) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 146 Recycled Water Distribution (Category X) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 147 *Total Annual Dollar Amount | 0 | 0 | 166,500,000 | 475,981,585 | 472,202,928 | 86,466,037 | 856,690,929 | 370,221,644 |
| 148 *Cumulative Dollar Amount | 0 | 0 | 166,500,000 | 642,481,585 | 1,114,684,513 | 1,201,150,550 | 2,057,841,479 | 2,428,063,123 |
| Wastewater Treatment Solutions to Nonpoint Source Problems | | | | | | | | |
| Amount of total wastewater treatment (\$212) dollar assistance used to solve nonpoint source problems (e.g., replacing decentralized systems with centralized systems)? | | | | | | | | |
| 149 Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 150 *Cumulative Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Total Wastewater Treatment, Nonpoint Source, and Estuary Assistance | | | | | | | | | |
| 136 | *Annual Dollar Amount | 570,555,683 | 167,762,215 | 438,228,308 | 307,316,519 | 480,624,699 | 378,009,095 | 880,875,393 | 608,884,474 |
| 137 | *Cumulative Dollar Amount | 3,155,536,557 | 3,323,298,772 | 3,761,527,080 | 4,068,843,599 | 4,549,468,298 | 4,927,477,393 | 5,808,352,786 | 6,417,237,260 |
| Unallocated CWSRF Assistance Provided Under New York State's Short-term Loan Program | | | | | | | | | |
| 137a | Annual Dollar Amount | 14,670,198 | 62,650,854 | 93,501,044 | 54,979,421 | 40,346,630 | 179,812,663 | 186,846,879 | 93,516,041 |
| Wastewater Treatment (\$212) (Dollars in each category) | | | | | | | | | |
| 138 | Secondary Treatment (Category I) | 267,751,989 | 60,754,849 | 201,693,450 | 80,164,071 | 226,633,425 | 84,497,313 | 406,916,229 | 353,276,309 |
| 139 | Advanced Treatment (Category II) | 17,969,548 | 1,520,300 | 51,106,746 | 25,746,336 | 19,794,846 | 2,948,610 | 42,954,819 | 6,079,337 |
| 140 | Infiltration/Inflow (Category IIIA) | 2,525,000 | 0 | 0 | 13,717,237 | 4,054,056 | 6,508,349 | 4,011,227 | 21,443,573 |
| 141 | Sewer System Rehabilitation (Category IIIB) | 12,625,387 | 4,253,700 | 15,106,748 | 21,383,894 | 39,606,797 | 7,508,608 | 45,422,700 | 14,185,291 |
| 142 | New Collector Sewers (Category IVA) | 7,206,985 | 5,703,865 | 8,476,133 | 10,664,760 | 12,424,454 | 15,488,212 | 21,832,591 | 13,564,245 |
| 143 | New Interceptors (Category IVB) | 47,217,060 | 2,148,000 | 2,878,501 | 34,210,973 | 1,739,353 | 6,211,822 | 5,432,004 | 5,244,671 |
| 144 | CSO Correction (Category V) | -4,790,790 | 4,831,180 | 31,387,998 | 31,800,777 | 59,875,990 | 50,389,949 | 68,245,138 | 65,036,543 |
| 145 | Storm Sewers (Category VI) | 0 | 0 | 0 | 0 | 4,626,084 | 0 | 113,487 | 0 |
| 146 | Recycled Water Distribution (Category X) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 147 | *Total Annual Dollar Amount | 350,505,179 | 79,211,894 | 310,649,576 | 217,688,048 | 368,755,005 | 173,552,863 | 594,928,195 | 478,829,969 |
| 148 | *Cumulative Dollar Amount | 2,778,568,302 | 2,857,780,196 | 3,168,429,772 | 3,386,117,820 | 3,754,872,825 | 3,928,425,688 | 4,523,353,883 | 5,002,183,852 |
| Wastewater Treatment Solutions to Nonpoint Source Problems | | | | | | | | | |
| Amount of total wastewater treatment (§212) dollar assistance used to solve nonpoint source problems (e.g., replacing decentralized systems with centralized systems)? | | | | | | | | | |
| 149 | Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 17,552,352 |
| 150 | *Cumulative Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 17,552,352 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|------|------|------|------|-----------|-------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Nonpoint Source (§319) | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 151 Agricultural Cropland (Category VII-A) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 152 Agricultural Animals (Category VII-B) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 153 Silviculture (Category VII-C) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 154 Urban (Category VII-D, excludes decentralized systems) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 155 Ground Water - Unknown Source (Category VII-E) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 156 Marinas (Category VII-F) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 157 Resource Extraction (Category VII-G) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 158 Brownfields (Category VII-H) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 159 Storage Tanks (Category VII-I) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 160 Sanitary Landfills (VII-J) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 161 Hydromodification (Category VII-K) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 162 Individual/Decentralized Sewage Treatment (Cat VII-L) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 163 Unclassified | 0 | 0 | 0 | 0 | 0 | 0 | 4,538,071 | 101,487,252 |
| 164 *Total Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 4,538,071 | 101,487,252 |
| 165 *Cumulative Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 4,538,071 | 106,025,323 |
| Estuary (§320) | | | | | | | | |
| Direct estuary related assistance where eligibility is unique to §320, such as fish restocking | | | | | | | | |
| 166 Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 167 *Cumulative Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Amount of §212 and §319 assistance that impacts estuaries | | | | | | | | |
| 168 Annual wastewater (§212) assistance that impacts estuaries | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 169 Annual nonpoint source (§319) assistance that impacts estuaries | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 170 *Total Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 171 *Cumulative Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Nonpoint Source (§319) | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 151 Agricultural Cropland (Category VII-A) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 152 Agricultural Animals (Category VII-B) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 153 Silviculture (Category VII-C) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 154 Urban (Category VII-D, excludes decentralized systems) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 162,147 |
| 155 Ground Water - Unknown Source (Category VII-E) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 22,869,286 |
| 156 Marinas (Category VII-F) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 157 Resource Extraction (Category VII-G) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 158 Brownfields (Category VII-H) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 159 Storage Tanks (Category VII-I) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 160 Sanitary Landfills (VII-J) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13,507,031 |
| 161 Hydromodification (Category VII-K) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 162 Individual/Decentralized Sewage Treatment (Cat VII-L) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 163 Unclassified | 205,380,306 | 25,899,467 | 34,077,688 | 34,649,050 | 71,523,064 | 24,643,569 | 99,100,319 | 0 |
| 164 *Total Annual Dollar Amount | 205,380,306 | 25,899,467 | 34,077,688 | 34,649,050 | 71,523,064 | 24,643,569 | 99,100,319 | 36,538,464 |
| 165 *Cumulative Dollar Amount | 311,405,629 | 337,305,096 | 371,382,784 | 406,031,834 | 477,554,898 | 502,198,467 | 601,298,786 | 637,837,250 |
| Estuary (§320) | | | | | | | | |
| Direct estuary related assistance where eligibility is unique to §320, such as fish restocking | | | | | | | | |
| 166 Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 167 *Cumulative Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Amount of §212 and §319 assistance that impacts estuaries | | | | | | | | |
| 168 Annual wastewater (§212) assistance that impacts estuaries | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 353,538,594 |
| 169 Annual nonpoint source (§319) assistance that impacts estuaries | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16,148,365 |
| 170 *Total Annual Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 369,686,959 |
| 171 *Cumulative Dollar Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 369,686,959 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|------|------|------|------|------|------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Wastewater Treatment (§212) (Number in each category)** | | | | | | | | |
| 172 Secondary Treatment (Category I) | 0 | 0 | 3 | 27 | 29 | 14 | 61 | 47 |
| 173 Advanced Treatment (Category II) | 0 | 0 | 0 | 0 | 4 | 1 | 6 | 6 |
| 174 Infiltration/Inflow (Category IIIA) | 0 | 0 | 0 | 2 | 4 | 2 | 3 | 2 |
| 175 Sewer System Rehabilitation (Category IIIB) | 0 | 0 | 0 | 1 | 10 | 6 | 10 | 8 |
| 176 New Collector Sewers (Category IVA) | 0 | 0 | 0 | 6 | 11 | 3 | 15 | 19 |
| 177 New Interceptors (Category IVB) | 0 | 0 | 1 | 5 | 12 | 5 | 11 | 15 |
| 178 CSO Correction (Category V) | 0 | 0 | 0 | 2 | 4 | 1 | 5 | 6 |
| 179 Storm Sewers (Category VI) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 180 Recycled Water Distribution (Category X) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 181 *Total Annual Number | 0 | 0 | 4 | 43 | 74 | 32 | 111 | 103 |
| 182 *Cumulative Number | 0 | 0 | 4 | 47 | 121 | 153 | 264 | 367 |
| Nonpoint Source (§319) (Number in each category)** | | | | | | | | |
| 183 Agricultural Cropland (Category VII-A) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 184 Agricultural Animals (Category VII-B) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 185 Silviculture (Category VII-C) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 186 Urban (Category VII-D, excludes decentralized systems) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 187 Ground Water - Unknown Source (Category VII-E) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 188 Marinas (Category VII-F) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 189 Resource Extraction (Category VII-G) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 190 Brownfields (Category VII-H) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 191 Storage Tanks (Category VII-I) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 192 Sanitary Landfills (VII-J) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 193 Hydromodification (Category VII-K) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 194 Individual/Decentralized Sewage Treatment (Cat VII-L) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 195 Unclassified | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 18 |
| 196 *Total Annual Number | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 18 |
| 197 *Cumulative Number | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 19 |
| Estuary (§320) Assistance Direct estuary related assistance where eligibility is unique to §320, such as fish restocking | | | | | | | | |
| 198 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 199 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|------|------|-------|-------|-------|-------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Wastewater Treatment (§212) | | | | | | | | |
| (Number in each category)** | | | | | | | | |
| 172 Secondary Treatment (Category I) | 119 | 28 | 119 | 69 | 89 | 27 | 149 | 109 |
| 173 Advanced Treatment (Category II) | 8 | 3 | 14 | 6 | 7 | 5 | 26 | 11 |
| 174 Infiltration/Inflow (Category IIIA) | 4 | 0 | 0 | 10 | 5 | 7 | 5 | 5 |
| 175 Sewer System Rehabilitation (Category IIIB) | 17 | 7 | 17 | 21 | 19 | 10 | 34 | 18 |
| 176 New Collector Sewers (Category IVA) | 15 | 4 | 17 | 14 | 19 | 18 | 26 | 15 |
| 177 New Interceptors (Category IVB) | 15 | 1 | 7 | 17 | 3 | 5 | 6 | 2 |
| 178 CSO Correction (Category V) | 11 | 4 | 16 | 9 | 16 | 5 | 35 | 18 |
| 179 Storm Sewers (Category VI) | 0 | 0 | 0 | 0 | 13 | 0 | 1 | 0 |
| 180 Recycled Water Distribution (Category X) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 181 *Total Annual Number | 189 | 47 | 190 | 146 | 171 | 77 | 282 | 178 |
| 182 *Cumulative Number | 556 | 603 | 793 | 939 | 1,110 | 1,187 | 1,469 | 1,647 |
| Nonpoint Source (§319) | | | | | | | | |
| (Number in each category)** | | | | | | | | |
| 183 Agricultural Cropland (Category VII-A) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 184 Agricultural Animals (Category VII-B) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 185 Silviculture (Category VII-C) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 186 Urban (Category VII-D, excludes decentralized systems) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 187 Ground Water - Unknown Source (Category VII-E) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 188 Marinas (Category VII-F) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 189 Resource Extraction (Category VII-G) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 190 Brownfields (Category VII-H) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 191 Storage Tanks (Category VII-I) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 192 Sanitary Landfills (VII-J) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| 193 Hydromodification (Category VII-K) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 194 Individual/Decentralized Sewage Treatment (Cat VII-L) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 195 Unclassified | 25 | 10 | 11 | 24 | 22 | 10 | 23 | 0 |
| 196 *Total Annual Number | 25 | 10 | 11 | 24 | 22 | 10 | 23 | 7 |
| 197 *Cumulative Number | 44 | 54 | 65 | 89 | 111 | 121 | 144 | 151 |
| Estuary (§320) Assistance | | | | | | | | |
| Direct estuary related assistance where eligibility is unique to §320, such as fish restocking | | | | | | | | |
| 198 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 199 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|--|--|-------------|-------------|-------------|---------------|---------------|---------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Construction Starts (includes pass-through and linked deposit) | | | | | | | | |
| 200 Annual Dollar Amount | 0 | 0 | 166,500,000 | 472,751,616 | 467,587,985 | 73,671,063 | 837,407,710 | 495,637,162 |
| 201 *Cumulative Dollar Amount | 0 | 0 | 166,500,000 | 639,251,616 | 1,106,839,601 | 1,180,510,664 | 2,017,918,374 | 2,513,555,536 |
| 202 Annual Number of Assistance Agreements | 0 | 0 | 4 | 31 | 57 | 26 | 93 | 106 |
| 203 *Cumulative Number of Agreements | 0 | 0 | 4 | 35 | 92 | 118 | 211 | 317 |
| Initiation of Operations (includes pass-through and linked deposit) | | | | | | | | |
| 204 Annual Dollar Amount | 0 | 0 | 0 | 76,878,101 | 707,818,663 | 113,943,454 | 690,633,889 | 278,522,018 |
| 205 *Cumulative Dollar Amount | 0 | 0 | 0 | 76,878,101 | 784,696,764 | 898,640,218 | 1,589,274,107 | 1,867,796,125 |
| 206 Annual Number of Assistance Agreements | 0 | 0 | 0 | 16 | 27 | 36 | 51 | 86 |
| 207 *Cumulative Number of Agreements | 0 | 0 | 0 | 16 | 43 | 79 | 130 | 216 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| CWSRF Assistance | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|--|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Construction Starts (includes pass-through and linked deposit) | | | | | | | | |
| 200 Annual Dollar Amount | 584,803,951 | 100,959,947 | 338,851,978 | 272,780,415 | 444,118,984 | 198,421,653 | 708,387,351 | 521,127,397 |
| 201 *Cumulative Dollar Amount | 3,098,359,487 | 3,199,319,434 | 3,538,171,412 | 3,810,951,827 | 4,255,070,811 | 4,453,492,464 | 5,161,879,815 | 5,683,007,212 |
| 202 Annual Number of Assistance Agreements | 222 | 57 | 193 | 161 | 185 | 78 | 290 | 184 |
| 203 *Cumulative Number of Agreements | 539 | 596 | 789 | 950 | 1,135 | 1,213 | 1,503 | 1,687 |
| Initiation of Operations (includes pass-through and linked deposit) | | | | | | | | |
| 204 Annual Dollar Amount | 575,319,430 | 267,375,628 | 393,278,502 | 293,249,101 | 376,069,716 | 266,341,197 | 538,005,668 | 452,051,628 |
| 205 *Cumulative Dollar Amount | 2,443,115,555 | 2,710,491,183 | 3,103,769,685 | 3,397,018,786 | 3,773,088,502 | 4,039,429,699 | 4,577,435,367 | 5,029,486,995 |
| 206 Annual Number of Assistance Agreements | 156 | 117 | 168 | 176 | 213 | 105 | 258 | 197 |
| 207 *Cumulative Number of Agreements | 372 | 489 | 657 | 833 | 1,046 | 1,151 | 1,409 | 1,606 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|-------------|-------------|---------------|---------------|---------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Leveraged Bonds (Excludes State Match) | | | | | | | | |
| 208 Gross Leveraged Bonds Issued - Annual | 0 | 0 | 166,500,000 | 473,630,000 | 465,605,000 | 85,895,000 | 857,100,000 | 455,115,000 |
| 209 Net Leveraged Bonds Issued - Annual | 0 | 0 | 147,141,257 | 425,096,220 | 424,766,186 | 83,086,909 | 813,113,356 | 447,071,281 |
| 210 Cost of Leveraged Bond Issuance - Annual | 0 | 0 | 19,358,743 | 48,533,780 | 35,070,243 | 2,808,091 | 32,951,026 | 7,094,162 |
| 211 Leveraged Bond Principal Repaid - Annual | 0 | 0 | 0 | 2,305,000 | 5,545,000 | 31,795,000 | 46,120,000 | 71,150,000 |
| Debt Service Reserve for Leveraged Bonds | | | | | | | | |
| 212 *Annual Change | 0 | 0 | 2,099,079 | 88,815,078 | 163,343,307 | 98,261,882 | 279,407,703 | 115,870,033 |
| 213 Balance at End of Reporting Period | 0 | 0 | 2,099,079 | 90,914,157 | 254,257,464 | 352,519,346 | 631,927,049 | 747,797,082 |
| 214 Net Change in Gross Bonds Resulting from Refunding - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 215 CWSRF Funds Used for Refunding (Excludes Bonds) - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 216 *Gross Leveraged Bonds Issued - Cumulative | 0 | 0 | 166,500,000 | 640,130,000 | 1,105,735,000 | 1,191,630,000 | 2,048,730,000 | 2,503,845,000 |
| 217 *Net Leveraged Bonds Issued - Cumulative | 0 | 0 | 147,141,257 | 572,237,477 | 997,003,663 | 1,080,090,572 | 1,893,203,928 | 2,340,275,209 |
| 218 *Cost of Leveraged Bond Issuance - Cumulative | 0 | 0 | 19,358,743 | 67,892,523 | 102,962,766 | 105,770,857 | 138,721,883 | 145,816,045 |
| 219 *Leveraged Bond Principal Repaid - Cumulative | 0 | 0 | 0 | 2,305,000 | 7,850,000 | 39,645,000 | 85,765,000 | 156,915,000 |
| 220 *Net Change in Gross Bonds Resulting from Refunding - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 221 *CWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 222 *Leveraged Bonds Outstanding - Balance at End of Reporting Period | 0 | 0 | 166,500,000 | 637,825,000 | 1,097,885,000 | 1,151,985,000 | 1,962,965,000 | 2,346,930,000 |
| State Match Bonds to be Repaid by CWSRF | | | | | | | | |
| 223 *Match Bonds Issued - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 224 Match Bond Principal Repaid - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 225 *Match Bonds Issued - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 226 *Match Bond Principal Repaid - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 227 *Match Bonds Outstanding - Balance at End of Reporting Period | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Interest Paid on Leveraged and Match Bonds | | | | | | | | |
| 228 Interest Paid from Capitalized Interest Account and Other CWSRF Funds- Annual | 0 | 0 | 0 | 30,710,000 | 69,360,000 | 74,016,000 | 89,046,000 | 149,958,000 |
| 229 Interest Paid from Capitalized Interest Account - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Interest Paid from CWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | | | | |
| 230 *Annual | 0 | 0 | 0 | 30,710,000 | 69,360,000 | 74,016,000 | 89,046,000 | 149,958,000 |
| 231 *Cumulative | 0 | 0 | 0 | 30,710,000 | 100,070,000 | 174,086,000 | 263,132,000 | 413,090,000 |

*Calculated Values

**Clean Water SRF Program Information
for the State of New York**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Leveraged Bonds (Excludes State Match) | | | | | | | | |
| 208 Gross Leveraged Bonds Issued - Annual | 543,820,000 | 78,465,000 | 329,944,271 | 233,870,200 | 93,730,000 | 85,210,000 | 679,874,829 | 494,234,714 |
| 209 Net Leveraged Bonds Issued - Annual | 508,276,528 | 76,481,104 | 312,963,265 | 232,122,059 | 92,937,273 | 84,793,886 | 662,236,381 | 485,854,123 |
| 210 Cost of Leveraged Bond Issuance - Annual | 35,534,472 | 1,983,896 | 16,981,006 | 4,774,850 | 1,276,162 | 1,158,818 | 6,770,480 | 6,848,379 |
| 211 Leveraged Bond Principal Repaid - Annual | 102,645,000 | 133,740,000 | 168,880,202 | 169,595,000 | 192,559,782 | 188,566,689 | 177,011,161 | 166,378,000 |
| Debt Service Reserve for Leveraged Bonds | | | | | | | | |
| 212 *Annual Change | 206,458,828 | 28,540,505 | 140,633,725 | 66,092,586 | -25,721,741 | -6,220,769 | 365,709,977 | 276,022,327 |
| 213 Balance at End of Reporting Period | 954,255,910 | 982,796,415 | 1,123,430,140 | 1,189,522,726 | 1,163,800,985 | 1,157,580,216 | 1,523,290,193 | 1,799,312,520 |
| 214 Net Change in Gross Bonds Resulting from Refunding - Annual | 0 | 0 | 25,305,000 | 0 | 0 | 0 | 17,321,000 | 46,077,833 |
| 215 CWSRF Funds Used for Refunding (Excludes Bonds) - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 10,971,000 | 3,573,489 |
| 216 *Gross Leveraged Bonds Issued - Cumulative | 3,047,665,000 | 3,126,130,000 | 3,456,074,271 | 3,689,944,471 | 3,783,674,471 | 3,868,884,471 | 4,548,759,300 | 5,042,994,014 |
| 217 *Net Leveraged Bonds Issued - Cumulative | 2,848,551,737 | 2,925,032,841 | 3,237,996,106 | 3,470,118,165 | 3,563,055,438 | 3,647,849,324 | 4,310,085,705 | 4,795,939,828 |
| 218 *Cost of Leveraged Bond Issuance - Cumulative | 181,350,517 | 183,334,413 | 200,315,419 | 205,090,269 | 206,366,431 | 207,525,249 | 214,295,729 | 221,144,108 |
| 219 *Leveraged Bond Principal Repaid - Cumulative | 259,560,000 | 393,300,000 | 562,180,202 | 731,775,202 | 924,334,984 | 1,112,901,673 | 1,289,912,834 | 1,456,290,834 |
| 220 *Net Change in Gross Bonds Resulting from Refunding - Cumulative | 0 | 0 | 25,305,000 | 25,305,000 | 25,305,000 | 25,305,000 | 42,626,000 | 88,703,833 |
| 221 *CWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 10,971,000 | 14,544,489 |
| 222 *Leveraged Bonds Outstanding - Balance at End of Reporting Period | 2,788,105,000 | 2,732,830,000 | 2,919,199,069 | 2,983,474,269 | 2,884,644,487 | 2,781,287,798 | 3,301,472,466 | 3,675,407,013 |
| State Match Bonds to be Repaid by CWSRF | | | | | | | | |
| 223 *Match Bonds Issued - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 224 Match Bond Principal Repaid - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 225 *Match Bonds Issued - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 226 *Match Bond Principal Repaid - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 227 *Match Bonds Outstanding - Balance at End of Reporting Period | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Interest Paid on Leveraged and Match Bonds | | | | | | | | |
| 228 Interest Paid from Capitalized Interest Account and Other CWSRF Funds- Annual | 155,558,000 | 162,993,648 | 163,235,758 | 165,682,285 | 167,142,028 | 161,578,225 | 181,330,340 | 184,736,624 |
| 229 Interest Paid from Capitalized Interest Account - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Interest Paid from CWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | | | | |
| 230 *Annual | 155,558,000 | 162,993,648 | 163,235,758 | 165,682,285 | 167,142,028 | 161,578,225 | 181,330,340 | 184,736,624 |
| 231 *Cumulative | 568,648,000 | 731,641,648 | 894,877,406 | 1,060,559,691 | 1,227,701,719 | 1,389,279,944 | 1,570,610,284 | 1,755,346,908 |

*Calculated Values

**Clean Water SRF Program Information
for the State of New York**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|-------------|-------------|-------------|---------------|---------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| CWSRF Project Assistance Disbursed | | | | | | | | |
| 232 Annual | 0 | 0 | 166,500,000 | 401,973,998 | 298,593,594 | 199,966,097 | 792,008,030 | 384,259,451 |
| 233 *Cumulative | 0 | 0 | 166,500,000 | 568,473,998 | 867,067,592 | 1,067,033,689 | 1,859,041,719 | 2,243,301,170 |
| CWSRF Loan Repayments | | | | | | | | |
| 234 Principal Repayments - Annual | 0 | 0 | 0 | 3,475,000 | 11,667,000 | 32,166,000 | 45,626,000 | 97,288,000 |
| 235 Interest Payments - Annual | 0 | 0 | 0 | 27,850,763 | 57,080,736 | 50,980,333 | 59,528,095 | 105,622,901 |
| 236 *Principal and Interest - Annual | 0 | 0 | 0 | 31,325,763 | 68,747,736 | 83,146,333 | 105,154,095 | 202,910,901 |
| 237 *Principal Repayments - Cumulative | 0 | 0 | 0 | 3,475,000 | 15,142,000 | 47,308,000 | 92,934,000 | 190,222,000 |
| 238 *Interest Payments - Cumulative | 0 | 0 | 0 | 27,850,763 | 84,931,499 | 135,911,832 | 195,439,927 | 301,062,828 |
| 239 *Principal and Interest - Cumulative | 0 | 0 | 0 | 31,325,763 | 100,073,499 | 183,219,832 | 288,373,927 | 491,284,828 |
| 240 Weighted Average Interest Rate on Loans | 0.0% | 0.0% | 5.1% | 4.7% | 4.6% | 3.2% | 3.8% | 3.5% |
| Interest Earnings on Investments | | | | | | | | |
| 241 Annual | 0 | 0 | 1,329,545 | 3,992,882 | 17,819,552 | 33,845,489 | 39,145,180 | 58,484,776 |
| 242 *Cumulative | 0 | 0 | 1,329,545 | 5,322,427 | 23,141,979 | 56,987,468 | 96,132,648 | 154,617,424 |
| CWSRF Administrative Expenses Paid from the CWSRF | | | | | | | | |
| 243 Annual | 0 | 0 | 667,221 | 4,149,242 | 4,192,935 | 5,194,047 | 3,329,428 | 4,278,821 |
| 244 *Cumulative | 0 | 0 | 667,221 | 4,816,463 | 9,009,398 | 14,203,445 | 17,532,873 | 21,811,694 |
| Expenses as a % of Capitalization Grants | | | | | | | | |
| 245 *Annual | - | - | 0.3% | 1.8% | 2.0% | - | 1.6% | 1.6% |
| 246 *Cumulative | - | - | 0.3% | 1.1% | 1.4% | 2.2% | 2.0% | 1.9% |
| CWSRF Administrative Expenses Paid Outside the CWSRF | | | | | | | | |
| 247 Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 248 *Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| CWSRF Project Assistance Disbursed | | | | | | | | |
| 232 Annual | 635,319,133 | 189,646,873 | 459,772,357 | 370,367,417 | 516,350,774 | 332,322,546 | 874,094,101 | 669,554,768 |
| 233 *Cumulative | 2,878,620,303 | 3,068,267,176 | 3,528,039,533 | 3,898,406,950 | 4,414,757,724 | 4,747,080,270 | 5,621,174,371 | 6,290,729,139 |
| CWSRF Loan Repayments | | | | | | | | |
| 234 Principal Repayments - Annual | 64,039,516 | 129,690,600 | 139,319,620 | 261,526,652 | 292,758,298 | 250,929,121 | 262,043,525 | 250,413,474 |
| 235 Interest Payments - Annual | 102,759,232 | 101,639,931 | 94,469,324 | 82,200,255 | 99,626,449 | 104,190,472 | 103,388,329 | 94,253,399 |
| 236 *Principal and Interest - Annual | 166,798,748 | 231,330,531 | 233,788,944 | 343,726,907 | 392,384,747 | 355,119,593 | 365,431,854 | 344,666,873 |
| 237 *Principal Repayments - Cumulative | 254,261,516 | 383,952,116 | 523,271,736 | 784,798,388 | 1,077,556,686 | 1,328,485,807 | 1,590,529,332 | 1,840,942,806 |
| 238 *Interest Payments - Cumulative | 403,822,060 | 505,461,991 | 599,931,315 | 682,131,570 | 781,758,019 | 885,948,491 | 989,336,820 | 1,083,590,219 |
| 239 *Principal and Interest - Cumulative | 658,083,576 | 889,414,107 | 1,123,203,051 | 1,466,929,958 | 1,859,314,705 | 2,214,434,298 | 2,579,866,152 | 2,924,533,025 |
| 240 Weighted Average Interest Rate on Loans | 3.1% | 2.4% | 2.6% | 2.8% | 2.4% | 2.5% | 2.4% | 2.2% |
| Interest Earnings on Investments | | | | | | | | |
| 241 Annual | 66,793,306 | 76,713,308 | 91,425,661 | 94,396,838 | 89,454,501 | 90,523,399 | 96,628,976 | 114,388,818 |
| 242 *Cumulative | 221,410,730 | 298,124,038 | 389,549,699 | 483,946,537 | 573,401,038 | 663,924,437 | 760,553,413 | 874,942,231 |
| CWSRF Administrative Expenses Paid from the CWSRF | | | | | | | | |
| 243 Annual | 3,466,540 | 6,110,470 | 4,416,438 | 5,437,868 | 5,511,268 | 5,751,558 | 5,212,041 | 6,121,186 |
| 244 *Cumulative | 25,278,234 | 31,388,704 | 35,805,142 | 41,243,010 | 46,754,278 | 52,505,836 | 57,717,877 | 63,839,063 |
| Expenses as a % of Capitalization Grants | | | | | | | | |
| 245 *Annual | 2.4% | - | 3.0% | 3.7% | 3.7% | 1.9% | 3.5% | 4.2% |
| 246 *Cumulative | 2.0% | 2.5% | 2.5% | 2.6% | 2.7% | 2.6% | 2.7% | 2.8% |
| CWSRF Administrative Expenses Paid Outside the CWSRF | | | | | | | | |
| 247 Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 248 *Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Other Clean Water Programs (Separate from CWSRF) | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|------|-----------|-----------|-----------|-----------|-----------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| EPA's Rural Community Hardship Grants Program | | | | | | | | |
| 249 EPA's Grants to State | | 0 | 0 | 2,152,000 | 0 | 0 | 0 | 0 |
| 250 State Match Amount | | 0 | 0 | 107,600 | 0 | 0 | 0 | 0 |
| 251 *Cumulative Total | | 0 | 0 | 2,259,600 | 2,259,600 | 2,259,600 | 2,259,600 | 2,259,600 |
| 252 Technical Assistance from Hardship Funds - Annual Dollars | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 253 Project Assistance from Hardship Funds - Annual Dollars | | 0 | 0 | 2,259,600 | 0 | 0 | 0 | 0 |
| 254 *Total Annual EPA Rural Hardship Assistance | | 0 | 0 | 2,259,600 | 0 | 0 | 0 | 0 |
| 255 *Cumulative EPA Rural Hardship Assistance | | 0 | 0 | 2,259,600 | 2,259,600 | 2,259,600 | 2,259,600 | 2,259,600 |
| 256 Number of Projects Receiving Hardship Assistance - Annual | | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 257 *Cumulative | | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Projects Receiving Hardship Assistance in Combination with CWSRF Loan Assistance | | | | | | | | |
| 258 Number of Projects Receiving Hardship Assistance and CWSRF Loans - Annual | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 259 *Cumulative | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 260 Hardship Portion of Combined Assistance - Annual Dollars | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 261 *Cumulative | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 262 CWSRF Loan Portion of Combined Assistance - Annual Dollars | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 263 *Cumulative | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 264 *Total Annual Combined Assistance | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 265 *Cumulative Combined Assistance | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Other Clean Water Programs (Separate from CWSRF) | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|------|------|------|------|------|------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| State Funded Clean Water Loan Programs (Separate from CWSRF) | | | | | | | | |
| 266 Cumulative Dollar Amount from 7/1/87 to 6/30/98 | | | 0 | | | | | |
| 267 Annual Dollar Amount | | | | 0 | 0 | 0 | 0 | 0 |
| 268 *Cumulative Dollar Amount | | | 0 | 0 | 0 | 0 | 0 | 0 |
| 269 Cumulative Number of Loans from 7/1/87 to 6/30/98 | | | 0 | | | | | |
| 270 Annual Number of Loans | | | | 0 | 0 | 0 | 0 | 0 |
| 271 *Cumulative Number of Loans | | | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Clean Water Grant Programs (Separate from CWSRF) | | | | | | | | |
| 272 Cumulative Dollar Amount from 7/1/87 to 6/30/98 | | | 0 | | | | | |
| 273 Annual Dollar Amount | | | | 0 | 0 | 0 | 0 | 0 |
| 274 *Cumulative Dollar Amount | | | 0 | 0 | 0 | 0 | 0 | 0 |
| 275 Cumulative Number of Grants from 7/1/87 to 6/30/98 | | | 0 | | | | | |
| 276 Annual Number of Grants | | | | 0 | 0 | 0 | 0 | 0 |
| 277 *Cumulative Number of Grants | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Clean Water Programs (Separate from CWSRF) | | | | | | | | |
| 278 *Dollar Amount of Loans and Grants - Annual | | | | 0 | 0 | 0 | 0 | 0 |
| 279 *Dollar Amount of Loans and Grants - Cumulative | | | 0 | 0 | 0 | 0 | 0 | 0 |
| 280 *Number of Loans and Grants - Annual | | | | 0 | 0 | 0 | 0 | 0 |
| 281 *Number of Loans and Grants - Cumulative | | | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|-------------|-------------|---------------|---------------|---------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| CWSRF Funds Available for Projects | | | | | | | | |
| 282 *Annual (New Funds) | 0 | 0 | 392,057,089 | 601,352,832 | 521,860,762 | 38,436,041 | 772,831,475 | 655,566,011 |
| 283 *Cumulative | 0 | 0 | 392,057,089 | 993,409,921 | 1,515,270,683 | 1,553,706,724 | 2,326,538,199 | 2,982,104,210 |
| CWSRF Assistance as a % of Funds Available | | | | | | | | |
| 284 *Annual | - | - | 42% | 79% | 90% | 225% | 120% | 70% |
| 285 *Cumulative | - | - | 42% | 65% | 74% | 77% | 91% | 87% |
| Outlays as a % of Capitalization Grants | | | | | | | | |
| 286 *Annual | - | - | 11% | 67% | 113% | - | 34% | 35% |
| 287 *Cumulative | - | - | 11% | 40% | 64% | 91% | 77% | 67% |
| Disbursements as a % of Funds Available | | | | | | | | |
| 288 *Annual | - | - | 42% | 67% | 57% | 520% | 102% | 59% |
| 289 *Cumulative | - | - | 42% | 57% | 57% | 69% | 80% | 75% |
| Construction Starts as a % of Funds Available | | | | | | | | |
| 290 *Annual | - | - | 42% | 79% | 90% | 192% | 108% | 76% |
| 291 *Cumulative | - | - | 42% | 64% | 73% | 76% | 87% | 84% |
| Initiation of Operations as a % of Funds Available | | | | | | | | |
| 292 *Annual | - | - | 0% | 13% | 136% | 296% | 89% | 42% |
| 293 *Cumulative | - | - | 0% | 8% | 52% | 58% | 68% | 63% |
| Loan Principal Repayments as a % of Funds Available | | | | | | | | |
| 294 *Annual | - | - | 0% | 1% | 2% | 84% | 6% | 15% |
| 295 *Cumulative | - | - | 0% | 0% | 1% | 3% | 4% | 6% |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| CWSRF Funds Available for Projects | | | | | | | | |
| 282 *Annual (New Funds) | 442,933,964 | 59,250,790 | 337,825,919 | 441,287,522 | 363,240,501 | 512,468,456 | 543,702,440 | 483,782,684 |
| 283 *Cumulative | 3,425,038,173 | 3,484,288,963 | 3,822,114,882 | 4,263,402,404 | 4,626,642,904 | 5,139,111,360 | 5,682,813,800 | 6,166,596,484 |
| CWSRF Assistance as a % of Funds Available | | | | | | | | |
| 284 *Annual | 129% | 283% | 130% | 70% | 132% | 74% | 162% | 126% |
| 285 *Cumulative | 92% | 95% | 98% | 95% | 98% | 96% | 102% | 104% |
| Outlays as a % of Capitalization Grants | | | | | | | | |
| 286 *Annual | 166% | - | 109% | 31% | 121% | 87% | 102% | 101% |
| 287 *Cumulative | 78% | 95% | 96% | 90% | 93% | 92% | 93% | 93% |
| Disbursements as a % of Funds Available | | | | | | | | |
| 288 *Annual | 143% | 320% | 136% | 84% | 142% | 65% | 161% | 138% |
| 289 *Cumulative | 84% | 88% | 92% | 91% | 95% | 92% | 99% | 102% |
| Construction Starts as a % of Funds Available | | | | | | | | |
| 290 *Annual | 132% | 170% | 100% | 62% | 122% | 39% | 130% | 108% |
| 291 *Cumulative | 90% | 92% | 93% | 89% | 92% | 87% | 91% | 92% |
| Initiation of Operations as a % of Funds Available | | | | | | | | |
| 292 *Annual | 130% | 451% | 116% | 66% | 104% | 52% | 99% | 93% |
| 293 *Cumulative | 71% | 78% | 81% | 80% | 82% | 79% | 81% | 82% |
| Loan Principal Repayments as a % of Funds Available | | | | | | | | |
| 294 *Annual | 14% | 219% | 41% | 59% | 81% | 49% | 48% | 52% |
| 295 *Cumulative | 7% | 11% | 14% | 18% | 23% | 26% | 28% | 30% |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|------|------|------|------|------|------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Disbursements as a % of CWSRF Assistance | | | | | | | | |
| 296 *Annual | - | - | 100% | 84% | 63% | 231% | 85% | 84% |
| 297 *Cumulative | - | - | 100% | 88% | 78% | 89% | 87% | 87% |
| Construction Starts as a % of CWSRF Assistance | | | | | | | | |
| 298 *Annual | - | - | 100% | 99% | 99% | 85% | 90% | 108% |
| 299 *Cumulative | - | - | 100% | 99% | 99% | 98% | 95% | 97% |
| Initiation of Operations as a % of CWSRF Assistance | | | | | | | | |
| 300 *Annual | - | - | 0% | 16% | 150% | 132% | 75% | 61% |
| 301 *Cumulative | - | - | 0% | 12% | 70% | 75% | 75% | 72% |
| Loan Principal Repayments as a % of CWSRF Assistance | | | | | | | | |
| 302 *Annual | - | - | 0% | 1% | 2% | 37% | 5% | 21% |
| 303 *Cumulative | - | - | 0% | 1% | 1% | 4% | 4% | 7% |
| Initiation of Operations as a % of Construction Starts | | | | | | | | |
| 304 *Annual | - | - | 0% | 16% | 151% | 155% | 82% | 56% |
| 305 *Cumulative | - | - | 0% | 12% | 71% | 76% | 79% | 74% |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|------|------|------|------|------|------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Disbursements as a % of CWSRF Assistance | | | | | | | | |
| 296 *Annual | 111% | 113% | 105% | 121% | 107% | 88% | 99% | 110% |
| 297 *Cumulative | 91% | 92% | 94% | 96% | 97% | 96% | 97% | 98% |
| Construction Starts as a % of CWSRF Assistance | | | | | | | | |
| 298 *Annual | 102% | 60% | 77% | 89% | 92% | 52% | 80% | 86% |
| 299 *Cumulative | 98% | 96% | 94% | 94% | 94% | 90% | 89% | 89% |
| Initiation of Operations as a % of CWSRF Assistance | | | | | | | | |
| 300 *Annual | 101% | 159% | 90% | 95% | 78% | 70% | 61% | 74% |
| 301 *Cumulative | 77% | 82% | 83% | 83% | 83% | 82% | 79% | 78% |
| Loan Principal Repayments as a % of CWSRF Assistance | | | | | | | | |
| 302 *Annual | 11% | 77% | 32% | 85% | 61% | 66% | 30% | 41% |
| 303 *Cumulative | 8% | 12% | 14% | 19% | 24% | 27% | 27% | 29% |
| Initiation of Operations as a % of Construction Starts | | | | | | | | |
| 304 *Annual | 98% | 265% | 116% | 108% | 85% | 134% | 76% | 87% |
| 305 *Cumulative | 79% | 85% | 88% | 89% | 89% | 91% | 89% | 89% |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|-------------|-------------|-------------|-------------|---------------|---------------|
| | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 |
| Financial Indicators | | | | | | | | |
| Federal Return on Investment | | | | | | | | |
| 306 *Annual | - | - | 703% | 263% | 124% | 115% | 1089% | 410% |
| 307 *Cumulative | - | - | 703% | 322% | 207% | 180% | 280% | 296% |
| Executed Loans as a % of Funds Available | | | | | | | | |
| 308 *Annual | - | - | 42% | 79% | 90% | 225% | 120% | 70% |
| 309 *Cumulative | - | - | 42% | 65% | 74% | 77% | 91% | 87% |
| Disbursements as a % of Executed Loans | | | | | | | | |
| 310 *Annual | - | - | 100% | 84% | 63% | 231% | 85% | 84% |
| 311 *Cumulative | - | - | 100% | 88% | 78% | 89% | 87% | 87% |
| Additional Loans Made Due to Leveraging | | | | | | | | |
| 312 *Annual | - | - | -80,514,911 | 210,909,895 | 211,765,045 | 32,855,023 | 687,227,181 | 133,112,558 |
| 313 *Cumulative | - | - | -80,514,911 | 130,394,984 | 342,160,029 | 375,015,052 | 1,062,242,233 | 1,195,354,791 |
| 314 *Cumulative Additional Loans as a % of Contributed Capital | - | - | -32% | 25% | 44% | 45% | 100% | 88% |
| Sustainability (Retained Earnings) | | | | | | | | |
| 315 *Annual | 0 | 0 | 1,329,545 | 1,133,645 | 5,540,288 | 10,809,822 | 9,627,275 | 14,149,677 |
| 316 *Cumulative | 0 | 0 | 1,329,545 | 2,463,190 | 8,003,478 | 18,813,300 | 28,440,575 | 42,590,252 |
| 317 *Cumulative Retained Earnings as a % of Contributed Capital | - | - | 0.5% | 0.5% | 1.0% | 2.3% | 2.7% | 3.1% |

* Calculated values.

**Clean Water SRF Program Information
for the State of New York**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 |
| Financial Indicators | | | | | | | | |
| Federal Return on Investment | | | | | | | | |
| 306 *Annual | 268% | 87% | 283% | 800% | 287% | 130% | 581% | 454% |
| 307 *Cumulative | 289% | 253% | 257% | 274% | 276% | 256% | 280% | 292% |
| Executed Loans as a % of Funds Available | | | | | | | | |
| 308 *Annual | 129% | 283% | 130% | 70% | 132% | 74% | 162% | 126% |
| 309 *Cumulative | 92% | 95% | 98% | 95% | 98% | 96% | 102% | 104% |
| Disbursements as a % of Executed Loans | | | | | | | | |
| 310 *Annual | 111% | 113% | 105% | 121% | 107% | 88% | 99% | 110% |
| 311 *Cumulative | 91% | 92% | 94% | 96% | 97% | 96% | 97% | 98% |
| Additional Loans Made Due to Leveraging | | | | | | | | |
| 312 *Annual | 429,439,419 | 156,452,024 | 272,731,929 | 32,058,470 | 236,043,212 | -43,444,706 | 622,728,357 | 331,360,097 |
| 313 *Cumulative | 1,624,794,211 | 1,781,246,235 | 2,053,978,164 | 2,086,036,634 | 2,322,079,847 | 2,278,635,141 | 2,901,363,498 | 3,232,723,595 |
| 314 *Cumulative Additional Loans as a % of Contributed Capital | 106% | 116% | 120% | 111% | 112% | 94% | 112% | 117% |
| Sustainability (Retained Earnings) | | | | | | | | |
| 315 *Annual | 13,994,538 | 15,359,591 | 22,659,227 | 10,914,808 | 21,938,922 | 33,135,646 | 7,715,965 | 20,332,104 |
| 316 *Cumulative | 56,584,790 | 71,944,381 | 94,603,608 | 105,518,416 | 127,457,338 | 160,592,984 | 168,308,949 | 188,641,053 |
| 317 *Cumulative Retained Earnings as a % of Contributed Capital | 3.7% | 4.7% | 5.5% | 5.6% | 6.2% | 6.6% | 6.5% | 6.8% |

* Calculated values.